NTIF

A General Symbolic Model for Communicating Sequential Processes with Data

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Introduction



Intermediate Models

High-level languages Lotos (ISO 8807:1988) E-Lotos (ISO 15437:2001) Intermediate models **Optimization** Petri nets with Data Control flow analysis NTIF Data flow analysis **Validation** Low-level models Verification **Transition Systems** Code generation Kripke structures Simulation Test generation



An Early Example of Intermediate Model

- Interpreted Petri nets with data (Garavel & Sifakis, 1990)
 - Global state variables
 - Net transitions labeled with communication events
 - Net transitions labeled with actions (guards, variable assignments, variable resets, etc.)

Benefits

- Separate language-dependent and independent aspects
- Improve the efficiency of verification algorithms by operating on a simplified semantic model
- Can be used for several high-level languages



Choosing an Intermediate Model

Two conflicting requirements:

- Generality and expressiveness
 - The model should be useable for several languages
 - The model should preserve language semantics
- Simplicity
 - The model should not contain unnecessary details
 - The model should not complicate analysis



Existing Formalisms Based on Condition/Action



Condition/Action Based Models

Many models based on condition/action

Input/Output Automata, Linear Process Operators (muCRL), Symbolic Transition Systems (CCS), IF (SDL), Communicating State Machines (Basic LOTOS), etc.

- Condition/action: Transitions $s \xrightarrow{E \Rightarrow A/C} s'$ where
 - s, s' are states
 - E is a boolean condition for firing the transition
 - A (action) is a sequence of variable assignments
 - C is a communication event
 - Input: G ?V (gate G, variable V)
 - Output: G ! E (gate G, expression E)
 - Silent event: 7



Limitations of Condition/Action Models

4 main limitations (developed in next slides)

- Transition firing is determined by a unique condition
- Conditions and actions can not be intertwined
- The language of actions is too simple to preserve big-step semantics
- Boolean conditions present in the high-level language are duplicated



1. Unique Firing Condition (1/2)

- Depending on the formalism, the condition E is evaluated:
 - Either "before" communication

```
Example V > 2 \Rightarrow \text{null} / G ?V
means "if V > 2 then read on gate G a new value for V"
```

- Or "during" communication

Same example means "fire transition only if it is possible to read on gate G a value for V greater than 2"



Unique Firing Condition (2/2)

Both cases are possible at language level

```
Example if V > 2 then G?V where V < 5
```

- Not implementable if evaluation "before"
- Two transitions necessary if evaluation "during"

$$s1 \xrightarrow{V > 2 \Rightarrow \text{null}/\tau} s2 \xrightarrow{V < 5 \Rightarrow \text{null}/G ?V} s3$$

 Both types of conditions must be provided to avoid additional transitions



2. No Intertwining of Condition and Action

- In all models condition is evaluated before action
- In practice actions (assignments) preceding condition would be useful

```
Example if F(F'(V)) then G !V; V := F'(V) should be writeable as
```

W := F'(V); if F(W) then G : V; V := W without adding extra transitions in the model

More generally: Intertwining conditions and actions is necessary



3. Semantics (1/3)

- Two kinds of concurrent language semantics exist
 - Small-step: one transition per assignment

Example
$$V_1 := 0$$
; $V_2 := 0$; $V_3 := 0$

- 3 transitions by default in PROMELA
- possible to aggregate explicitly: dstep
- Big-step: transitions induced by communication

Example
$$V_1 := 0$$
; $V_2 := 0$; $V_3 := 0$; $G!V_1$

- 1 transition in E-LOTOS
- Variables are not shared between processes
- No transitions associated to pure sequences of assignments



Semantics (2/3)

- Semantics of condition/action models
 - If action contains at most one assignment then the semantics is purely small-step
 - If action enables sequential composition of assignments then the semantics is a combination of small-step and big-step
- In both cases the language of actions is inappropriate for real big-step semantics



Semantics (3/3)

- Example Loops and big step semantics
 - V[1] := 0; V[2] := 0; V[3] := 0 can be translated into

$$s_0$$
 $V[1] := 0; V[2] := 0; V[3] := 0/\tau $s_1$$

- But for i in 1...3 do V[i] := 0 must be translated into

$$s_0 \xrightarrow{i := 1/\tau} s_1 \xrightarrow{i > 3 \Rightarrow \text{null}/\tau} s_2$$

$$i \le 3 \Rightarrow i := i+1; V[i] := 0/\tau$$

 The language of actions must be extended to preserve big-step semantics



4. Condition Duplications (1/2)

 Translation of conditionals (if-else, case) leads to condition duplications

Example if E_1 then C_1 elsif E_2 then C_2 ... else C_n translates into

$$s \xrightarrow{E_1 \Rightarrow C_1} s'$$

$$s \xrightarrow{\text{not}(E_1) \text{ and } E2 \Rightarrow C_2} s'$$

$$s \xrightarrow{\text{not}(E_1) \text{ and not}(E_2) \text{ and ... and not}(E_{n-1}) \text{ and } E_n \Rightarrow C_{n-1}} s'$$

$$s \xrightarrow{\text{not}(E_1) \text{ and not}(E_2) \text{ and ... and not}(E_{n-1}) \text{ and not}(E_n) \Rightarrow C_n} s'$$

i.e., n(n+1)/2 conditions to evaluate instead of n



Condition Duplications (2/2)

- Condition duplications penalize user-friendliness
 - Models are laborious to write by hand
 - Models are hard to read and debug
- Condition duplications penalize analysis efficiency
 - Both condition <u>and</u> its negation must be evaluated during model checking or simulation
 - Properties that are obvious from the high-level standing point become hard to prove at the intermediate level Example Checking that at most one (or exactly one) transition can be fired from a given state



Conclusion on Condition/Action

- Although often used in the litterature condition/action models are not good:
 - Neither for hand writing
 - Neither for reading and debugging
 - Nor for automatic processing
- A better formalism is needed: NTIF (created in April 2001)



NTIF: The New Technology Intermediate Form



NTIF Program

- An NTIF program is a collection of communicating sequential processes with data
 - Parallelism is left for further work
- An NTIF process is made of
 - States *s*, *s'*, ...
 - Typed parameters with condition of validity
 - Typed (local) state variables
 - Multi-branching transitions between states of the form
 "from s A" where A is an action containing control structures and jumps to next state



NTIF Actions

Actions are built upon the following syntactic elements

```
- Types written T
```

- Variables V

- Gates G

- Expressions *E*

- Patterns P

- Offers *O* ::= !*E* | ?*P* where *E*



Syntax of Actions

```
• A ::= null
                                                   Inaction
      |V_0, ..., V_n := E_0, ..., E_n
                                                  Assignment
      |V_0, ..., V_n| := any T_0, ..., T_n
                                                  Nondeterministic assignment
      | reset V_0, ..., V_n
                                                   Variable deactivation
      \mid G O_1 ... O_n \mid
                                                   Communication (rendezvous)
      to s
                                                  Jump to state
      A_1; A_2
                                                   Sequential composition
      | select A_1 [] ... [] A_n end select Nondeterministic choice
         case E is
              P_1 \rightarrow A_1 \mid ... \mid P_n \rightarrow A_n
         end case
                                                   Deterministic choice
        while E do A_0 end while
                                                   While loop
```



Derived NTIF Constructs

- for derived from while
- if-then and if-then-else derived from case

```
if E then A_1 else A_2 end if =
case E \text{ is true} \rightarrow A_1 \mid false \rightarrow A_2 \text{ end case}
if E then A_1 end if = if E then A_1 else null end if
```

stop derived from selectstop = select end select



NTIF Static Semantics

- Ensures program well-formedness
- Several checks
 - Patterns and assignments bind variables without ambiguity
 - Variables are defined before used
 - At most one communication occurs on each transition execution path (e.g., no comm. in while loops)
 - No blocking between a communication and jump to next state
 - Some "case" statements cover all possible values of a given type



NTIF Dynamic Semantics

- Formal and intuitive semantics
- Expressed in SOS form (Structured Operational Semantics)
 - Associates a (timed) LTS to each instance of a process
 - [A], $\rho \Rightarrow^l s'$, ρ' means that in store ρ :
 - A runs without deadlock
 - Processes action l
 - Jumps to state s' with store ρ'
 - "from s A" and « [A], $\rho \Rightarrow^l s'$, ρ' » implies a transition $\langle s, \rho \rangle \rightarrow^l \langle s', \rho' \rangle$



NTIF Solves the Limitations of Condition/Action

- Conditions can occur before and during communications thanks to if actions and conditional patterns
 Example if V > 2 then G ?V where V < 5 end if
- Conditions and actions can be freely intertwined
- Big-step semantics are preserved thanks to while loops
- Conditions are not duplicated thanks to the multi-branching structure of transitions



NTIF Example: if-else-elsif

```
from Cep_Test_NT
   if Deactivated then
        Init_Load_Resp.Status := x9106;
        Cep_Reply !Init_Load_Resp;
        to Cep_Init
   elsif Locked then
        Init_Load_Resp.Status := x9110;
        Cep_Reply !Init_Load_Resp;
        to Cep_Init
```



Same Example in IF (Condition/Action)

```
from Cep Test NT
     if Deactivated
     svnc tau
     do {Init Load Resp.Status := x9106}
to S 00017:
from S 00017
     if Reply Type Value 0 = Init Load Resp
     sync Cep_Reply!(Reply_Type_Value_0)
to Cep Init:
from Cep Test NT
     if not Deactivated and Locked
     sync tau
     do {Init Load Resp.Status := x9110}
to S 00018;
from S 00018
     if Reply Type Value 0 = Init Load Resp
     sync Cep_Reply!(Reply_Type_Value_0)
to Cep Init;
```

```
from Cep Test NT
     if not Deactivated and
       not Locked and (NT >= NT Limit)
     svnc tau
     do { Init_Load_Resp.Status := x9102 }
to S 00019;
from S 00019
     if Reply Type Value 0 = Init Load Resp
     sync Cep Reply!(ReplyType Value 0)
to Cep_Init:
from Cep Test NT
     if not Deactivated and not Locked and
       not (NT >= NT Limit)
     sync tau
     do {
         Load_Amount := Inquiry.Load_Amt,
         Slot Index := 0,
         Currency_Sought := Inquiry.Currency,
         Slots Available := 0,
         Last Avail Slot := Slot Count
to Cep_IFL_Locate_Slot;
```

NTIF Example: case

```
from Cep_Command_Case
  Cep_Command ?Inquiry;
 case Inquiry.Command is
   ALLSLOTS00 ->
     Slots_Reported := 0;
     Slot_Index := 0;
     to Cep_Slot_Inquiry_Sequence
  | ALLSLOTS01 ->
     to Cep_SIQ_Reply
  any ->
     to Cep_Command_Out_Of_Sequence
  end case
```



Same Example in IF

```
from Cep_Command_Case
     sync Cep_Command ?Command_Type_Value_0
     do {Inquiry := Command_Type_Value_0}
to S 00023
from S 00023
     if Inquiry.Command = ALLSLOTS00
     sync tau
     do { Slots Reported := 0, Slot Index := 0 }
to Cep Slot Inquiry Sequence:
from S 00023
     if (Inquiry.Command <> ALLSLOTS00) and (Inquiry.Command = ALLSLOTS01)
     svnc tau
to Cep_SIQ_Reply;
from S 00023
     if (Inquiry.Command <> ALLSLOTS00) and (Inquiry.Command <> ALLSLOTS01)
     sync tau
to Cep Command Out Of Sequence;
```



NTIF Example: while

```
from Cep_Slot_Inquiry_Sequence
  while (Slot_Index < Slot_Count) do</pre>
      if (Slots[Slot_Index].In_Use) then
             Slots[Slot_Index].Reported := false;
             Slot_Index := Slot_Index + 1
      else
             Slots[Slot_Index].Reported := true;
             Slot_Index := Slot_Index + 1;
             Slots_Reported := Slots_Reported + 1
      end if
  end while;
  Cep_Reply !Slot_Info;
  to Cep_SIQ_Reply
```



Same Example in IF

```
from Cep Slot Inquiry Sequence
   sync tau
to S 00009:
from S 00009
   if (Slot Index < Slot Count) and
     Slots[Slot Index].In Use
   sync tau
   do {
        Slots[Slot_Index].Reported := false,
        Slot_Index := Slot_Index + 1
to S_00009;
```

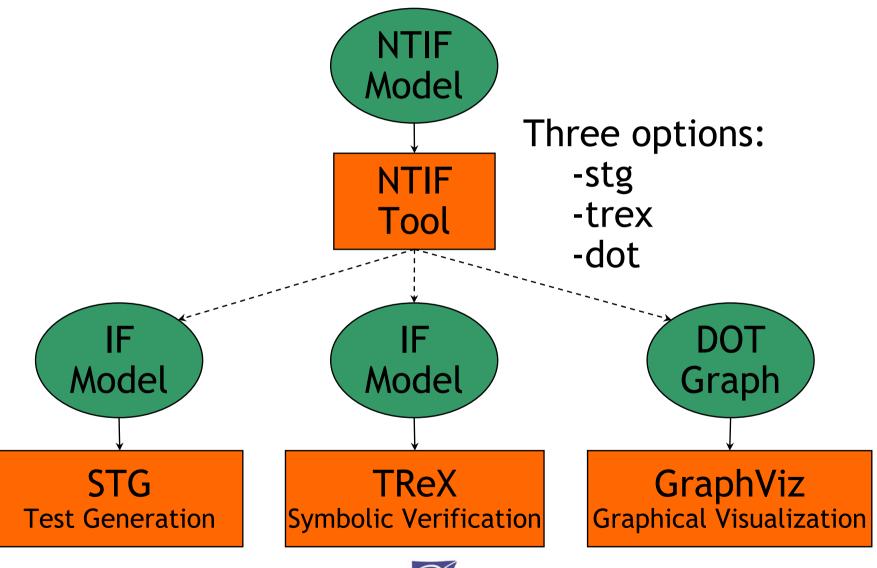
```
from S 00009
   if (Slot_Index < Slot_Count) and</pre>
     not Slots[Slot_Index].In_Use
   sync tau
   do {
        Slots[Slot_Index].Reported := true,
        Slot_Index := Slot_Index + 1,
        Slots_Reported := Slots_Reported + 1
to S 00009;
from S 00009
   if not (SlotIndex < SlotCount) and
    (Reply_Type_Value_0 = Slot_Info)
   sync Cep_Reply !Reply_Type_Value_0
to Cep_SIQ_Reply ;
```



Tools for NTIF



The NTIF Tool



The NTIF Tool

- Symbolic unfolding of NTIF transitions into two dialects of IF 1.0
 - IF for STG (INRIA, Rennes)
 used for symbolic test generation
 - IF for TReX (LIAFA, Paris)
 used for symbolic reachability analysis
- Graphical visualization of NTIF descriptions using the DOT format of the GraphViz Package (AT&T)



Development of the NTIF Tool

- Started in April 2001
- Use of the SYNTAX + TRAIAN compiler construction technology

http://www.inrialpes.fr/vasy/Publications/Garavel-Lang-Mateescu-02.html

- 12 000 lines of code
 - 2 200 lines of SYNTAX code
 - 8 300 lines of LOTOS NT code
 - 1 500 lines of C code
- Versions for Solaris, Linux, and Windows



Case Studies



Electronic Purse

- Specification of a multi-currency electronic purse (CEPS standard) starting from an existing IF 1.0 description (Feb. 2001)
- Numerous bugs found in the IF code due to:
 - Condition duplications: non-exclusive conditions, noncovered cases
 - Use of undefined variables
- Translation into IF using NTIF and symbolic test generation with STG
- Currently: symbolic verification with TReX



Operating System for Smart Card

- Administrative commands of an OS for smart card dedicated to 3GPP mobile telephony (F.-X. Ponscarme, INRIA, Rennes, July 2001)
- Case study performed in industrial context (provided by Schlumberger)
- Translation into IF with NTIF and symbolic test generation with STG



Statistics NTIF vs IF

 NTIF leads to more concise descriptions, containing less states and transitions than IF

	CEPS			OS 3GPP		
	IF	NTIF	% ↓	IF	NTIF	% ↓
# lines	598	418	30 %	697	498	28 %
# transitions	63	23	63 %	78	22	71 %
# states	31	21	32 %	34	20	41 %
Branching	1	2.21		1	2.77	



Graphical Visualization

 NTIF leads to better structured descriptions as can be seen using DOT

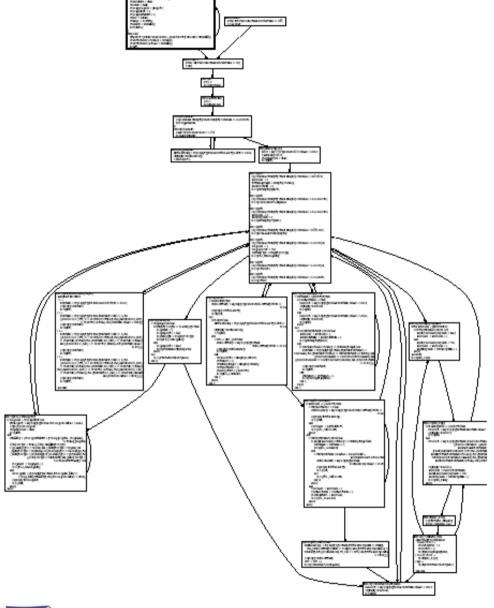
Graphical visualization of the CEPS encoded in IF (Produced with STG)





Graphical Visualization

Graphical visualization of the CEPS encoded in NTIF (NTIF tool)





Zoom on a State

CEPS state encoded in NTIF

```
from CepIFL LocateSlot
 if vSlotIndex >= pSlotCount then
    if vSlotsAvailable == 0 then
      mInitLoadResp := any ReplyType where mInitLoadResp.Status ==
      CepReply !mInitLoadResp;
      to CepInit;
    else
      vSlotIndex := vLastAvailSlot:
      to CepIFL_InitForLoad;
    end if;
  else
    if vSlots[vSlotIndex].InUse then
      if vSlots[vSlotIndex]. Currency != vCurrencySought then
        vSlotIndex := vSlotIndex + 1:
        to CepIFL_LocateSlot;
        if vSlots[vSlotIndex].Balance + vLoadAmount >
                                         vSlots[vSlotIndex].BalMax then
           mInitLoadResp := any ReplyType where
                                        mInitLoadResp.Status == x9402;
           CepReply !mInitLoadResp;
           to CepInit;
           to CepIFL_InitForLoad;
        end if:
      end if:
    else
      vSlotIndex := vSlotIndex + 1:
      vSlotsAvailable := vSlotsAvailable + 1;
      vLastAvailSlot := vSlotIndex;
      to CepIFL_LocateSlot;
    end if:
  end if:
```



Conclusion



Conclusion

- Condition/action models are ill-adapted for system description and analysis (symbolic or exhaustive)
- Created in April 2001, NTIF is a structured intermediate model that solves the problems
- Tools exist and have been applied to several casestudies



Ongoing Work

- Implementation of the full language of data (records, arrays, lists, trees, constructor-based types)
- NTIF extension with time constructs (delays, urgency, etc.)
- Verification of time constraints
 - Extension of the connection to TReX
 - Connection to UppAal



Future Work

- Compiling LOTOS and E-LOTOS via NTIF, which requires extensions to support
 - Parallelism
 - Exceptions

 Connection to CADP for enumerative verification, simulation, and test



More Information...

Conference paper published at FORTE 2002

http://www.inrialpes.fr/vasy/Publications/Garavel-Lang-02.html

